

PENDING BUSINESS RULE DESIGN CHANGES, DATA CORRECTIONS, AND FINAL BILL CHALLENGES  
AS OF 8/17/2020

**I. Business rule modifications and data corrections pending resolution**

| DESCRIPTION | NET ESTIMATED IMPACT | PERIOD(S) AFFECTED | BILLING ISSUE XREF | EXPOSURE | BENEFIT | Status |
|-------------|----------------------|--------------------|--------------------|----------|---------|--------|
|             |                      |                    |                    |          |         |        |

**II. Resolved business rule modifications and data corrections pending adjustment through the normal settlement cycle**

| DESCRIPTION | NET ESTIMATED IMPACT | PERIOD(S) AFFECTED | BILLING ISSUE XREF | EXPOSURE | BENEFIT | Status |
|-------------|----------------------|--------------------|--------------------|----------|---------|--------|
|             |                      |                    |                    |          |         |        |

**III. Final Bill Challenges**

| DESCRIPTION | NET ESTIMATED IMPACT | PERIOD(S) AFFECTED | BILLING ISSUE XREF | EXPOSURE | BENEFIT | Status |
|-------------|----------------------|--------------------|--------------------|----------|---------|--------|
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|---|--------------------------|------------------------|--|--|--|--|
| Operations went into DNI override and agreed to match all of PJM's transactions. During the inadvertent accounting process, NYISO and PJM were in agreement but the agreement was based on the DNI, not the transactions. Inquiry was received from MP and after further analysis showed that two transactions needed to be updated to match PJM. | Approximately \$2,800.00 | December 12, 2019 HB13 |  |  |  | Transaction updates made and will appear on MPs December Final Bill. |
|---|--------------------------|------------------------|--|--|--|--|

**IV. Pending Approved DAC/Disputes**

| DESCRIPTION | \$ AMOUNT | ALLOCATION BASIS |
|-------------|-----------|------------------|
|             |           |                  |

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V. Pending FERC/Court Activity

| FERC DOCKET #                | DESCRIPTION OF ISSUE  | STATUS UPDATES   | UPCOMING ACTIVITY |
|------------------------------|---|--|-------------------|
| RM16-23-000                  | On December 3, 2018 NYISO filing of compliance with the Commission's February 15, 2018, Order No. 841 concerning Electric Storage Participation in Markets Operated by Regional Transmission Organizations and Independent System Operators (Docket No. ER19-467-000).  | <p>On August 3, 2020 FERC issued an Order accepting NYISO's proposed tariff revisions to ensure that electric storage resources will be subject to transmission charges, to become effective no later than September 30, 2020. However, the FERC required NYISO to clarify its proposed revisions that would exempt certain withdrawals by Energy Storage Resources from transmission charges. This order dismissed as moot the NYISO's July 17 filing discussed below. (Docket No. ER19-467-003, 004)</p> <p>On July 17, 2020 NYISO filed a motion requesting Commission action on its February 18, 2020 Compliance Filing and its January 21, 2020 request for rehearing by August 4, 2020 related to Energy Storage Resource ("ESR") participation model in compliance with Order No. 841.</p> <p>On March 5, 2020, FERC issued a Notice granting an extension of the deadline for the implementation of Order No. 841 compliance tariff revisions to no later than 9/30/20.</p> <p>On February 14, 2020, NYISO filed a motion to request an extension of the effective date that NYISO may propose in its further compliance filing, for the revisions to its Open Access Transmission Tariff and Market Administration and Control Area Services Tariff to comply with Order No. 841, to a date no later than September 30, 2020.</p> <p>On January 21, 2020, NYISO submitted a Request for Rehearing of the Commissioner's December 20, 2019 Compliance Order.</p> <p>On December 20, 2019, FERC issued an Order of Compliance accepting in part, rejecting in part and directed a further energy storage resource compliance filing by February 18, 2020.(Docket No. ER19-467-000, 001, and 002)</p>                          |                   |
| ER19-1332-000                | On March 15, 2019, NYISO submitted revisions to Section 4.5 of the Market Services Tariff to clarify a number of Real-time Market Settlement calculations related to energy injections and energy withdrawals.  | On May 3, 2019, FERC issued a Letter Order accepting the proposed revisions effective May 15, 2019.  |                   |
| ER19-2276-000, 001, 002, 003 | On June 27, 2019 NYISO 205 filing of tariff revisions regarding the establishment of a participation model for aggregations of resources, including Distributed Energy Resources (DER).   | <p>On 2/24/20, NYISO filed Services Tariff proposed revisions in compliance with FERC's 1/23/20 Order accepting NYISO's proposal to amend its OATT and Services Tariff to integrate Aggregations and Distributed Energy Resources (DERs). The compliance filing is intended to:</p> <ul style="list-style-type: none"> <li>(i) establish an effective date for tariff revisions for which the NYISO had previously not proposed an effective date;</li> <li>(ii) incorporate minor tariff revisions proposed by the New York Transmission Owners; and</li> <li>(iii) revise certain buyer-side mitigation tariff records reflecting the Commission's 12/20/19, Order in Docket No. ER19-467-000, et al.</li> </ul> <p>On 4/21/20, FERC issued an order accepting the filing and NYISO's proposal to submit a compliance filing at least two weeks prior to the anticipated effective date for the DER participation model that will specify the date on which the revised tariff language will take effect.</p> <p>On January 23, 2020, FERC Order accepting tariff revisions and directing a compliance filing and informational report.</p> <p>On November 26, 2019, NYISO responded to the Commissioner's second deficiency letter dated October 30, 2019, answering the FERC's questions and requesting that the Commission issue an order accepting all tariff revisions proposed in the June 27, 2019 filing.(Docket No. ER18-2276-002)</p> <p>On September 18, 2019, NYISO responded to the Commissioner's deficiency letter regarding the June 27, 2019 DER filing, and resubmitted the MSE related tariff sections to request an effective date change from November 1, 2019 to May 1, 2020 (Docket No. ER19-2276-001).</p> |                   |
| ER20-1767-000                | On May 4, 2020, NYISO submitted a request for waiver of the timing requirement in section 6.1.2.5 of its OATT, which directs revenue from non-physical market activity be used to recover a Rate Schedule 1 shortfall up to an amount equal to the lower of NYISO's actual or budgeted costs for the immediately preceding calendar year. NYISO requests this waiver to be in effect from the date of the Commission's approval of this waiver request until December 31, 2020. | On May 14, 2020, FERC issued a Letter Order granting the waiver.   |                   |